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UTILITY	Attorney Docket No.	ART-00102.P.1
PATENT APPLICATION TRANSMITTAL	First Inventor or Application Identifier	JunQuan Xu et al.
(Only for new nonprovsional applications under 37 C.F.R. §	Title	Compositions and Methods for Separation of Moieties on Chips
1.53(b))	Express Mail Label No.	EK529477400USUS
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	

- 1. [X] \* Fee Transmittal Form (e.g. PTO/SB/17)

  (Submit an original and a duplicate for fee processing)
- 2. [X] Specification [Total Pages [82]]
- 3. [X] Drawing(s) (35 U.S.C. 113) [Total Sheets [5]]
- 4. Oath or Declaration
  - a. [X] Newly executed (original or copy)
  - b. [] Copy from a prior application (37 C.F.R. § 1.63(d))
    (For continuation/divisional with Box 16 completed)
    - i. [] DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application See 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

- \*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27); EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).
- 5. [] Microfiche Computer Program (Appendix)
- 6. Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary)
  - a. [] Computer Readable Copy
  - b. [] Paper Copy (identical to computer copy)
  - c. [] Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS
7. [] Assignment Papers (cover sheet & documents(s))
8. [ ] 37 C.F.R. § 3.73(b) Statement
(when there is an assignee)
[] Power of Attorney
9. [] English Translation Document (if applicable)
10. [] Information Disclosure Statement (IDS)/PTO-1449
[ ] Copies of IDS Citations
11. [X] Preliminary Amendment
12. [X] Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. [X] * Small Entity Assertions
[] Statement filed in prior application. Status still proper and desired
14. [] Certified Copy of Priority Document(s)
(If foreign priority is claimed)
15. [X] Other: Certificate of Mailing by Express Mail
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and
in a preliminary amendment:
[] Continuation [] Divisional [X] Continuation-in-part (CIP) Of prior application No: 09/636,104
Prior application Information: Examiner Group Art Unit
[] Continuation [] Divisional [X] Continuation-in-part (CIP) Of prior application No: 09/399,299
Prior application Information: Examiner Group Art Unit1643_
For CONTINUATION or DIVISIONAL ADDS only The entire disabours of the union and institution from the
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying
continuation or divisional application and is hereby incorporated by reference. The incorporation can only be
relied upon when a portion as been inadvertently omitted from the submitted application parts.
Tonce apon when a portion as occir madvertentry enfitted from the submitted application parts.



17. CORRE	SPONDENCE INFORMATION
[X] Customer Number <u>24232</u> or Bar Code [ ] Correspondence address below	Label [ ] or
Name	David R. Preston
Address	11404 Sorrento Valley Road, Suite 104
City, State and Zip Code	San Diego, California 92121
Country	USA
Telephone	858.450.1388
Facsimile	858.450.2188
Name David R. Preston	Registration Number 38,710
Signature (Luc)	Date October 10, 2000

Corresponds to PTO/SB/05

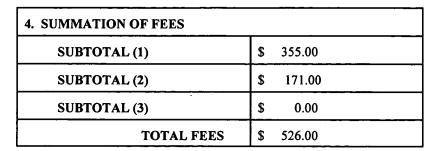
FEE TRANSMITTAL		Complete if Known		
Patent fees are subject to annual These are the fees effective O Small Entity payments must be	ctober 1, 1997.	Application Number:	To be determined	
statement, otherwise large ent Forms PTO/SB/09-12. See 37	ity fees must be paid. See	Filing Date:	Herewith	
Forms P10/SB/09-12. See 3/	C.F.R. 99 1.27 and 1.28.	First Named Inventor:	JunQuan Xu et al.	
		Group / Art Unit:	To be determined	
Total Amount of Payment	\$ 526.00	Docket Number:	ART-00102.P.1	

METHOD OF PAYMENT	
<ol> <li>[] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:         Deposit Account Number: []         Deposit Account Name: []         [] Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17         [] Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance.</li> </ol>	
2. [X] Payment Enclosed [X] Check Number 489 [] Money Order [] Other	

FEE CALCULATION									
1. BASIC	1. BASIC FILING FEE								
	Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid			
	101		201	355.00	Utility Filing Fee	\$ 355.00			
	106		206		Design Filing Fee	\$			
	107		207		Plant Filing Fee	\$			
	108		208		Reissue Filing Fee	\$			
	114		214		Provisional Filing Fee	\$			
					SUBTOTAL (1)	\$ 355.00			

2. EXTRA C	CLAIM FE	EES				<del></del>		
				Extra Claims		Fee From Below		Fee Paid
Total Claims	[39]	-20 **	Equals	[19]	Times	[ 9.00]	Equals	\$171.00
Independent Claims	[1]	-3 **	Equals	[0]	Times	[0]	Equals	\$ 0.00
						Subto	tal (2)	\$171.00
** or number	previously	paid, if grea	ater, For Reis	sues, see bel	ow			
	Large E	ntity	Small Enti	ity		<u>=</u> .		<del></del>
	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description			
	103		203	9.00	Claims in excess of 20			,
	102		202		Independent claims in excess of 3			
	104	,	204		Multiple dependent claim, if not paid			i
	109		209		** Reissue independent claims over original patent			original
	110		210		** Reissue claims in excess of 20 and over original patent			

Large	Entity	Small I	Entity		Fee Paid	
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		
105		205		Surcharge - late filing fee or oath	\$	
127		227		Surcharge - late provisional filing fee or cover sheet	\$	
139		139		Non-English specification	\$	
147		147		For filing a request for reexamination	\$	
112		112		Requesting publication of SIR prior to Examiner action	\$	
113		113		Requesting publication of SIR after Examiner action	\$	
115		215		Extension for reply within first month	\$	
116		216		Extension for reply within second month	\$	
117		217		Extension for reply within third month	<b>6.9</b>	
118		218		Extension for reply within fourth month	\$	
128		228		Extension for reply within fifth month	\$	
119		219		Notice of Appeal	\$	
120		220		Filing a brief in support of an appeal	\$	
121		221		Request for oral hearing	\$	
138		138		Petition to institute a public use proceeding	\$	
140		240		Petition or revive - unavoidable	\$	
141		241		Petition or revive - unintentional	\$	
142		242		Utility issue fee (or reissue)	\$	
143		243		Design issue fee	\$	
144		244		Plant issue fee	\$	
122		122		Petitions to the Commissioner	\$	
123		123		Petitions related to provisional applications	\$	
126		126		Submission of Information Disclosure Statement	\$	
581		581		Recording each patent assignment per property	\$	
146		246		Filing a submission after final rejection (37 C.F.R. 1.129(a))	\$	
149		249		For each additional invention to be examined (37 CFR 1.129(b))	\$	
Other fee (	specify):		·		\$	
Reduced by	Basic Filing	Fee Paid		Subtotal (3)	\$ 0.00	



SUBMITTED BY			Complete (If Applicable)		
Typed or Printed Name	David R. Preston		Registration Number:	38,710	
Signature	Sand Della	Date; 10/10/00	Deposit Account User ID Number	501321	

## David R. Preston & Associates

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**Box Utility Patent Application** 

"Express Mail" Mailing Label Number: <u>EK529477400US</u>

Date of Deposit: October 10, 2000

Assistant Commissioner for Patents Washington DC 20231

Re:

**Utility Patent Application** 

Entitled:

Compositions and Methods for Separation of Moieties on Chips

Appl. No.:

To be determined

Filed:

Herewith

Inventor:

Xu et al.

Our Ref.:

ART-00102.P.1

Sir:

The following documents are forwarded herewith for appropriate action by the United States Patent and Trademark Office:

- 1. Utility Patent Application Transmittal (in duplicate);
- 2. Fee Transmittal (in duplicate);
- 3. Declaration for Utility or Design Patent Application;
- 4. Assertion of Small Entity Status Small Business;
- 5. Assertion of Small Entity Status NonProfit Organization

## 6. Complete U.S. Patent Application entitled:

## Compositions and Methods for Separatin of Moieties on Chips

and naming as inventors

JunQuan Xu Xiaobo Wang Jing Cheng Weiping Yang Lei Wu

the utility application comprising:

- a) Total pages of application: 82;
- b) Pages of specification: 65;
- c) Pages of Figures: 5; Number of Figures: 5;
- d) Pages of Title Page: 1;
- e) Pages of Abstract: 1;
- f) Pages of Bibliography: 3
- g) Pages of Claims: 7; 60 Claims.
- 7. Preliminary Amendment;
- 8. One Return Post Card; and
- 9. Our Check for \$526.00 to cover the Application Fee.

It is respectfully requested that the attached postcard be stamped with the filing date and unofficial application number and returned as soon as possible.

Please apply any charges not covered, or any credits, to <u>Deposit Account 501321</u> in the name of David R. Preston & Associates having <u>Customer No.: 24232</u>.

The following attorney is the attorney of record for prosecuting this application and transacting all business in the USPTO connected therewith:

David R. Preston, Esquire Registration No. 38,710

Please send all correspondence and direct all telephone calls to:

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Respectfully Submitted,

DAVID R. PRESTON & ASSOCIATES

David R. Preston

Attorney for Applicant

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